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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/790,634	02/27/2004	Minoru Taya	UNIV0216

CONFIRMATION NO. 1330

## FORMALITIES LETTER



\*OC000000012777928\*

Michael C. King  
 LAW OFFICES OF RONALD M. ANDERSON  
 Suite 507  
 600 - 108th Avenue N.E.  
 Bellevue, WA 98004

Date Mailed: 05/26/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED

MAY 28 2004

RONALD M. ANDERSON  
LAW OFFICESItems Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$450 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

08/31/2004 ARD0F01 00000041 10790634

01 FC:2001  
02 FC:2051385.00 OP  
65.00 OP

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MAIL STOP MISSING PARTS

CUSTOMER NUMBER 25268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Taya et al. Attorney Docket No: UNIV0216  
Serial No: 10/790,634 Group Art Unit: 3683  
Filed: February 27, 2004 Examiner:  
Title: DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY  
COMPOSITES AND ACTUATORS INCORPORATING SUCH  
MATERIALS

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT/  
REQUEST FOR EXTENSION OF TIME

Bellevue, Washington 98004

August 25, 2004

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted Herewith Are The Following:

- ☒ 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- ☒ 2. Four Assignments of the invention to the University of Washington are attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to each Assignment. Please record these Assignments in accordance with 37 C.F.R. § 3.11.
- ☒ 3. A copy of the Notice to File Missing Parts.
- ☒ 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPUTATION OF FEE				
	Number of Claims Filed	Number of Extra Claims	Rate	Basic Fee \$385
Total Claims	14 - 20 = 0	0	\$9	0
Independent Claims	2 - 3 = 0	0	\$43	0
Multiple Dependent Claims	-0	-0	\$145	0
Total Filing Fee				\$385
Surcharge Fee				65
Extension of Time Fee				55
Assignment Recording Fee				160
TOTAL FEE				\$ 665

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03 FC:2251

55.00 OP

UNIV0216-1-210216MPTLa.doc

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LAW OFFICES OF RONALD M. ANDERSON  
600 - 108th Avenue N.E., Suite 507  
Bellevue, Washington 98004  
Telephone: (425) 688-8816 Fax: (425) 646-6314

1 X 5. Our check No. 7635 in the amount of \$665 to cover the total fee as  
2 computed above.

3 B. Request for Extension of Time

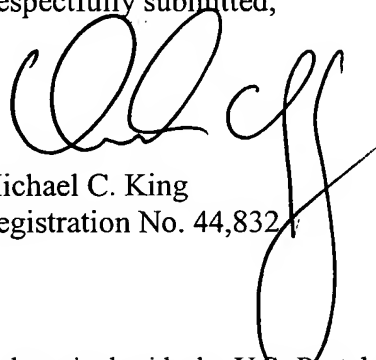
4 Applicant respectfully requests that the shortened statutory period for response to the Notice  
5 to File Missing Parts dated May 26, 2004, set to expire on July 26, 2004, be extended by one (1)  
6 month to expire on August 26, 2004. The \$55 small entity, one-month extension of time fee is  
7 included in the enclosed check as noted above.

8 C. Additional Fee Charges or Credit for Overpayment

9 Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

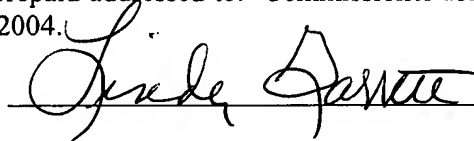
10 A copy of this sheet is enclosed.

11  
12 Respectfully submitted,

13  
14   
15  
16 Michael C. King  
17 Registration No. 44,832

18  
19 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
20 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.  
21 Box 1450, Alexandria, VA 22313-1450, on August 25, 2004.

22 Date: August 25, 2004

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